



Australian Government

Department of Defence  
Defence Science and  
Technology Organisation

# The Science foundation of evidence-based policy and decision making

**Dr Alex Zelinsky**  
**Chief Defence Scientist**

ASOR Seminar series

9 June 2015

**DSTO**

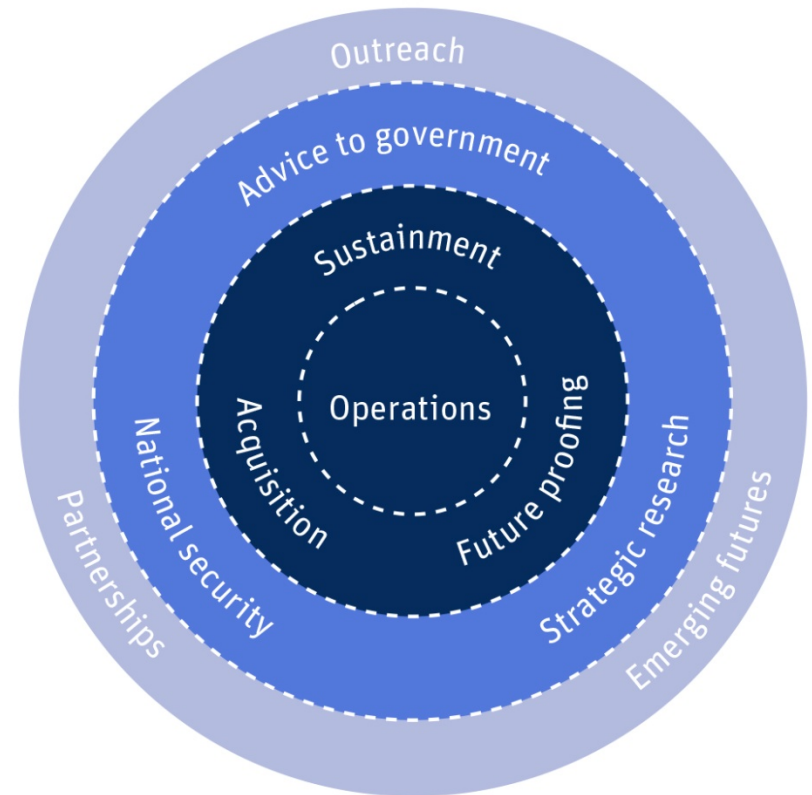


Science and Technology for Safeguarding Australia

# DSTO Purpose



**DSTO is a national leader in safeguarding Australia by delivering valued scientific advice and innovative technology solutions for Defence and national security**



Majority of program is providing evidence-based 'advice' across all our roles

# APS200 project: The Place of Science in Policy Development in the Public Service\*

*“Policy making within the APS needs to be based on a rigorous, evidence-based approach that routinely and systematically draws upon science as a key element.”*

5 key challenges:

- **Timeliness** of scientific evidence is critical to its uptake in policy
- **Cultural** challenges can impede effective interaction between scientists and policy makers
- **Relationships** between scientists and policy makers is critical
- **Timeframes** associated with policy development can be challenging
- **Access** to and use of scientific data and research services to support policy

Still significant issues even for an embedded organisation like DSTO

\* Dept of Industry and Science, 2012

# Australian Policy Cycle\*

Early science-based input  
reduces risk and saves \$

S&T push  
and pull

## Anticipation

Identifying issues and  
agenda setting

## Formulation

Information gathering and  
analysis

Critical scoping phase  
Options analysis

## Evaluation

Assessing  
effectiveness and  
impact

## Consultation

Testing policy options  
through consultation

Test strength  
of analysis

Metrics and  
analysis

## Adoption

Decision-making and  
implementation

Scrutiny and decision  
support/ Visualisation

\* Dept of Industry and Science, 2012

# Decision making

Decision

=

Judgement

+

Evidence

A balance, influenced by:

- Time
- Complexity
- Risk/Cost
- Culture
- Access to expertise

Cognitive bias  
Insufficient time  
Analysis paralysis  
Anchoring  
Insufficient information  
Information overload  
Group think  
Over-confidence



# Establishing an evidence base takes leadership and resources

- “Establishing a robust, accessible evidence base to support decisions on infrastructure reforms and investments is also critical.
- Without this evidence base, it is difficult for our governments, the private sector, and the wider Australian community to have a clear understanding of where the major challenges lie.”\*



\* p. 4

# Where Judgement and Evidence collide....



## THE AGE Victoria

Victoria Sport AFL Ultimate Footy Melbourne Restaurants Traffic Co

You are here: Home » Victoria

### NZ apples will burn us: orchardists

July 29, 2011

Adam Carey

- 1921 Importing NZ apples into Australia was banned (due to 'risk' of fire blight...)
- 2005 New Zealand challenged Australia's risk argument
- 2006 Australia formalised its risk assessment
- 2007 New Zealand lodged a formal dispute with the World Trade Org, contesting:
  - 'whether the science ... was sufficient to support Australia's [position]'*
  - arguing that 'Australia has employed a flawed [risk assessment]... methodology...'*
- 2010 The WTO Panel upheld New Zealand's challenge:
  - "...the Panel ... determined that [Australia's risk] estimation ... was not supported by adequate scientific evidence and, accordingly, was not coherent and objective." \**
- 2011 NZ apple imports into Australia commenced.

\* World Trade Organisation, 2010, Report of the Appellate Body, AB-2010-2, [https://www.wto.org/english/tratop\\_e/dispu\\_e/367abr\\_e.pdf](https://www.wto.org/english/tratop_e/dispu_e/367abr_e.pdf)



Australian Government  
Department of Defence

# First Principles Review

## Creating One Defence



- Announced by Defence Minister on 1 April.
- **Significant** reform across Defence.

Defending Australia and its National Interests  
[www.defence.gov.au](http://www.defence.gov.au)



# Defence faces five strategic challenges

## Science and technology implications



### ***Significant capability modernisation***

- The largest most technically ambitious and complex portfolio we have ever delivered
- Creates the imperative to improve the efficiency and effectiveness of capability decisions



### ***Rapid technological change***

- Increases the cost and risks of delay in the capability portfolio
- Creates opportunities to gain advantage or cost efficiencies by leveraging technology



### ***Budgetary uncertainty***

- Increases the need for transparency and sound financial stewardship
- Means Defence must demonstrate value for money to the government and wider community



### ***Economic growth in our region***

- Driving rapid regional military modernisation
- Creates an uncertain strategic environment and increases the need for sound policy advice



### ***Greater demand for military responses to various regional and expeditionary crises***

- Requires a greater emphasis on joint capabilities
- Increases the need to deliver high quality policy advice and work effectively across government

# Defence faces five strategic challenges

Strong drive for evidence-based decisions



## ***Significant capability modernisation***

- The largest most technically ambitious and complex portfolio we have ever delivered
- Creates the imperative to **improve the efficiency and effectiveness of capability decisions**



## ***Rapid technological change***

- Increases the cost and risks of delay in the capability portfolio
- Creates opportunities to gain advantage or cost efficiencies by **leveraging technology**



## ***Budgetary uncertainty***

- Increases the **need for transparency** and sound financial stewardship
- Means Defence must **demonstrate value for money** to the government and wider community



## ***Economic growth in our region***

- Driving rapid regional military modernisation
- Creates an uncertain strategic environment and **increases the need for sound policy advice**

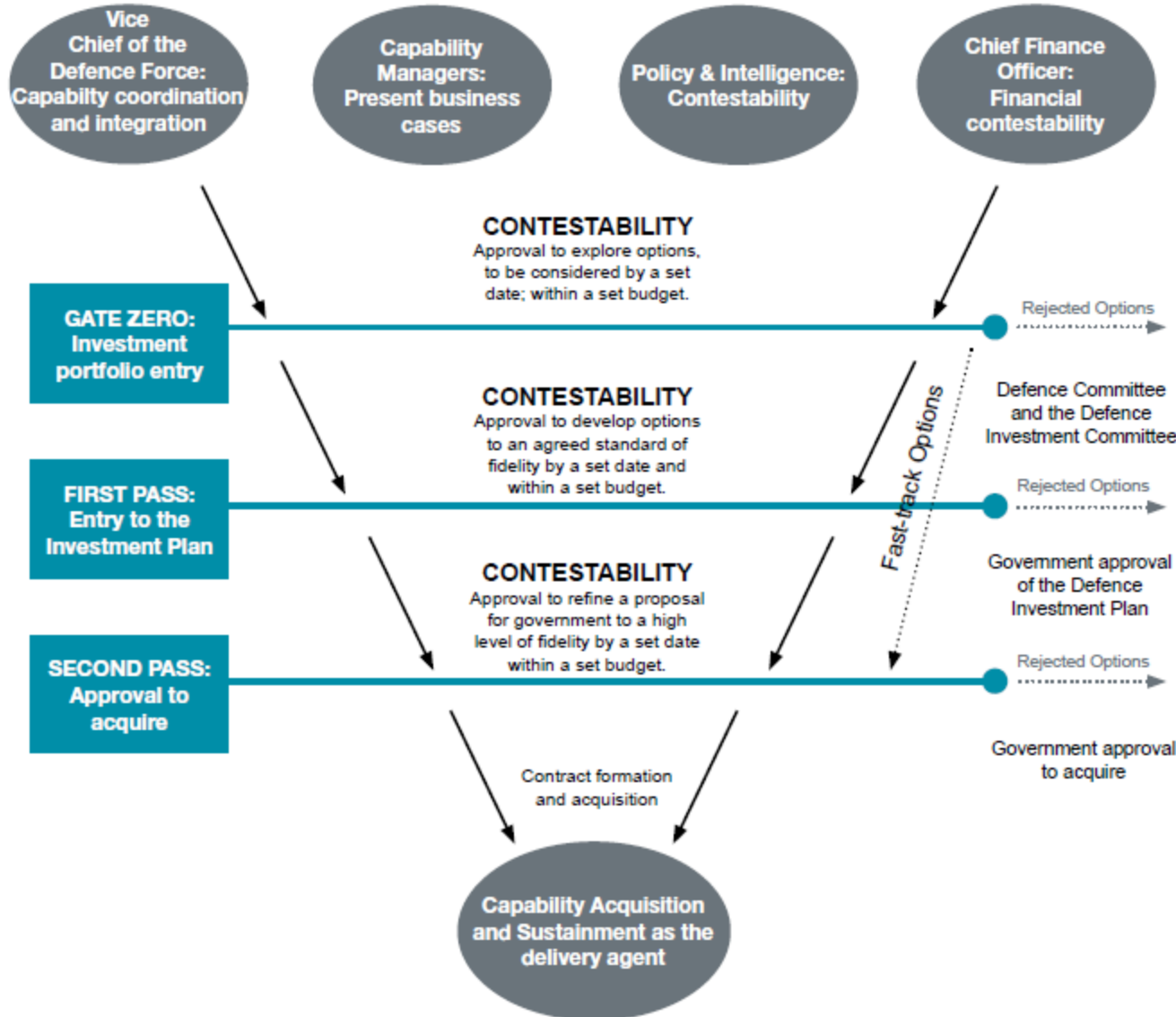


## ***Greater demand for military responses to various regional and expeditionary crises***

- Requires a greater emphasis on joint capabilities
- Increases the need to **deliver high quality policy advice** and work effectively across government

# New process to enhance contestability/scrutiny

## WHITE PAPER AND ANNUAL FORCE STRUCTURE REVIEW PROCESS



Capability Acquisition and Sustainment Group will be project manager

Capability Manager will be the sponsor throughout the capability life cycle

# Defence Projects Are Complex



Airborne Early Warning & Control

Complex innovative technology



Air Warfare Destroyer

Complex system integration



Future Submarine

Complex gov't to gov't relationships



SATCOM

Multiple stakeholders & governments



Joint Strike Fighter F-35A

Multiple stakeholders and governments

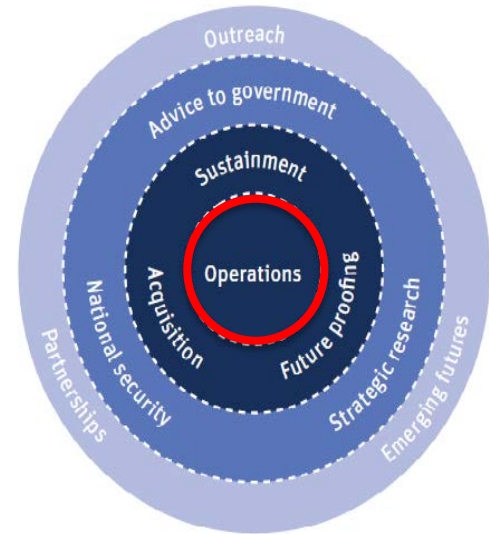
Complex innovative technology

Complex system integration



# Support to Operations

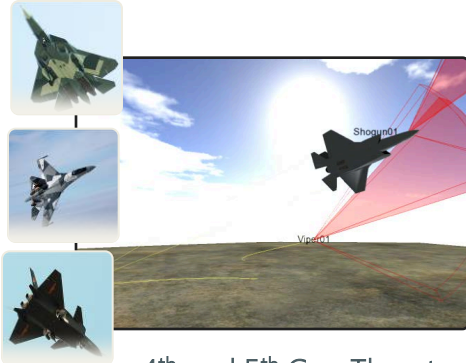
- Hand-Held Detector Study increased the protection for Australian troops
- Cultural compatibility study informed ADF mentoring mission
  - Incorporated into NATO guidance



Policy question: how to reduce risk to life?



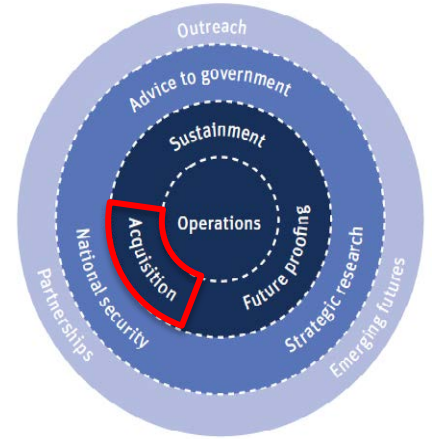
# Operational Analysis Supporting Joint Strike Fighter - 'smart buyer'



4th and 5th Gen Threat Assessment

±  
Concept of Operation/Tactics Evaluation

Policy question: how to reduce risks to delivery of capability?



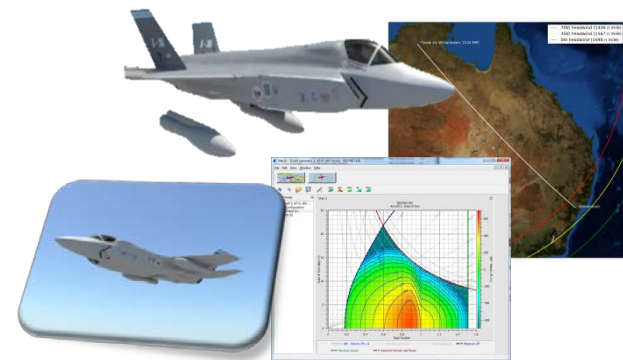
F-35A Interoperability

External Fuel Tank Assessments

Beyond Line of Sight Communications

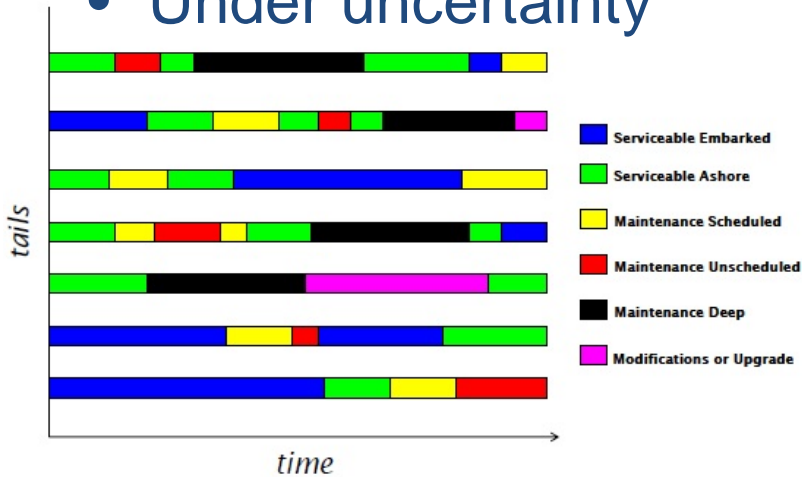


Radar & Electronic Attack Modelling



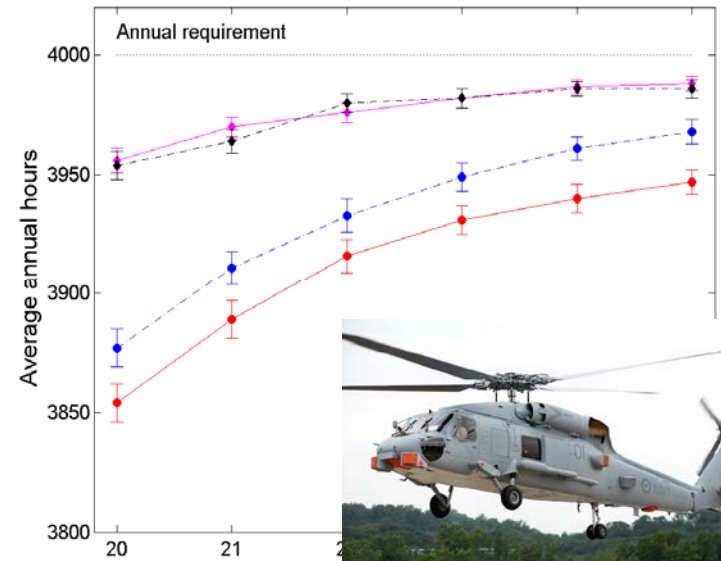
# Operational Analysis: Navy Combat Helicopter fleet - 'smart buyer'

- Discrete event simulation
- Model per day/30 years
- For different fleet size options
- Direct translation into on-going fleet management sustainment
  - Under uncertainty



Policy question: how many do we need?

Ashore hours with fleet size for various allocation options 4000 hr requirement



Fleet size options to meet 4000 hours requirement. Answer = 4 2



# Sustainment – evolving capability and reducing costs

RAN Polyurethane paint not suited to Australian conditions:

- Storm Grey is wrong colour
- Paint not durable enough

Investigated other options:

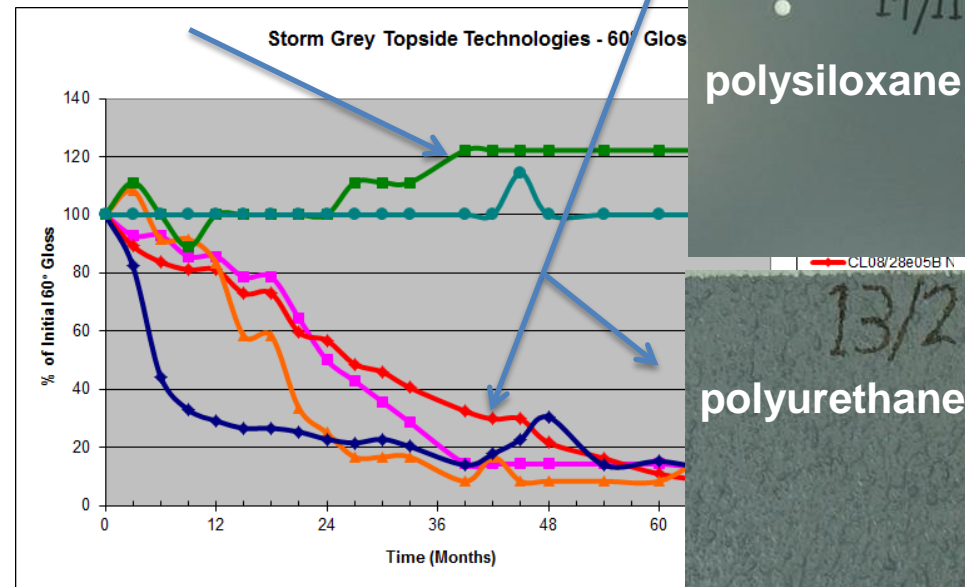
- Haze Grey is more suitable for northern Australian waters
- Polysiloxane paint chemistry
  - More durable
  - More colour-stable
  - Less toxic (isocyanate-free)
  - Reduced environmental pollution
  - Low Solar Absorbing - Reduced thermal signature
- Overall – higher quality, at same or reduced cost.

Policy question: how to maintain capability at least cost?



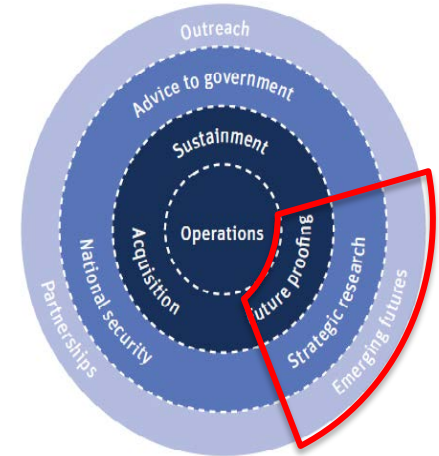
polysiloxane paint  
(durable)

polyurethane paint  
(degrades)



# Futures

- *Forward 2035* –DSTO foresighting study
- Key trends identified
  - Trust in Technology
  - Mastering Complexity
  - Smart Power
  - Innovation Enterprise
- *Forward 2035* informing the White Paper and future Force concepts



Policy question: What future issues do we need to plan for?

# Science foundation is broad!

<p><b>Deputy CDS groups</b> Responsible for corporate duties in order to shape strategic direction and enhance engagement with Defence and external partners.</p>	<p><b>DCDS Strategy &amp; Program</b> Develops science policy, formulates client and strategic research programs, and oversees resource investment into S&amp;T capabilities.</p>		<p><b>DCDS Partnerships &amp; Outreach</b> Coordinates and develops interactions with industry, academia, overseas agencies and other Australian government agencies. Promotes defence science in the education and wider Australian communities.</p>		<p><b>DCDS Corporate</b> Delivers enabling services including science information management and technology, research infrastructure, scientific engineering and support, laboratory emergency management, safety and security.</p>	
<p><b>Maritime Division (MD)</b> Provides support and solutions to enhance the operational performance and survivability of defence platforms in the maritime domain.</p>	<p><b>Sonar Technology and Systems</b> Undertakes research, development, test, evaluation and prototyping of undersea acoustic sensors, systems and concepts to counter undersea threats.</p>	<p><b>Signature Management</b> These two MSTCs conduct research into <b>1. Acoustic and 2. Non-acoustic</b> (radar, infrared and visible) signature treatment and control.</p>	<p><b>Maritime Autonomy</b> Leads the development of systems operating independently in complex environments, and intelligent sensor payloads.</p>	<p><b>Undersea Command and Control</b> Enhances ADF undersea warfare effectiveness.</p>	<p><b>Naval Architecture</b> Enhances the capability requirements definition, performance, safety and through-life management of ship and submarine structures and propulsion systems.</p>	<p><b>Platform Survivability</b> Enhances defence platform survivability through vulnerability and recoverability analysis.</p>
<p><b>Land Division (LD)</b> Provides support and solutions for ADF personnel by applying expertise in human sciences, vehicle and systems sciences, and chemical and biological warfare.</p>	<p><b>Land Human Systems</b> Develops, sustains and applies the broad cross-section science skills in support of land operations.</p>	<p><b>Land Vehicles &amp; Systems</b> Analyses vehicle and systems</p>		<p><b>Chemical &amp; Biological Defence</b> Research and development</p>		<p><b>Land Personnel &amp; Protection</b> Supports soldier combat system development, and analysis of threats affecting the soldier.</p>
<p><b>Aerospace Division (AD)</b> Provides support and solutions to enhance the operational effectiveness, performance, survivability, availability and safety of ADF aerospace capabilities.</p>	<p><b>Aerospace Systems Eff</b> Supports Defence outco capability, efficiency and by providing advice and where humans and air p or systems interact.</p>	<p style="font-size: 2em; text-align: center;">OA growth areas:</p> <ul style="list-style-type: none"> <li>• (Big) data analytics</li> <li>• Super/cloud computing</li> <li>• (Interactive) Visualisation</li> <li>• Behavioural representation</li> <li>• Robust analysis/optimisation under uncertainty</li> </ul>				<p><b>Applied Hypersonics</b> Supports technology for propulsion used in air vehicles traveling at speeds in excess of Mach 5.</p>
<p><b>Joint and Operations Analysis Division (JOAD)</b> Analyses Defence operations and capability to provide independent, impartial and timely advice.</p>	<p>Three JOAD MSTCs deve and tools to inform deci <b>2. Land Capability and</b> specification, procurem technologies, force stru operational effectiveness</p>					<p>ing at nal levels awareness of machine on.</p> 
<p><b>National Security Intelligence Surveillance &amp; Reconnaissance Division (NSIRD)</b> Enhances the national capability for accurate, relevant and timely actionable intelligence for Defence and Government decision makers.</p>	<p><b>Intelligence Analytics</b> situational awareness c for intelligence analysts conducts domain-specifi into human, open-sourc source analysis techni</p>					<p><b>National Security</b> Provides a whole-of-government coordination program for science and technology needs relating to national security.</p>
<p><b>Cyber and Electronic Warfare Division (CEWD)</b> Provides expert advice and technology solutions in the cyber domain and electronic warfare environment.</p>	<p><b>Cyber Assurance and O</b> Supports enhanced perf In the presence of threa unauthorised activities computer resources.</p>					<p><b>EW Operations</b> Provides countermeasures for detecting and defeating threats using the electromagnetic spectrum.</p>
<p><b>Weapons and Combat Systems Division (WCSD)</b> Applies science and technology to the development and operation of highly effective weapons systems for Defence.</p>	<p><b>Weapons Guidance Technology</b> Undertakes research, development and analysis of the guidance systems of modern weapons.</p>					<p><b>Combat and Mission Systems</b> Develops combat and mission systems for maritime and airborne platforms, and the tactical networking between air, sea and land platforms.</p>

# Strategic alliances with industry

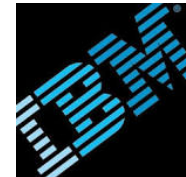
Partnerships for delivering new and improved capabilities for Defence and National Security



LOCKHEED MARTIN



NORTHROP GRUMMAN



SAAB

THALES



DMTC DEFENCE MATERIALS TECHNOLOGY CENTRE



# Strategic alliances with universities

## Defence Science Partnership

- New partnerships with universities
- Streamlined business model
- Twenty-one Australian universities signed up



Australian  
National  
University



THE UNIVERSITY  
of ADELAIDE



THE UNIVERSITY  
OF QUEENSLAND  
AUSTRALIA



UNSW  
AUSTRALIA



THE UNIVERSITY OF  
SYDNEY



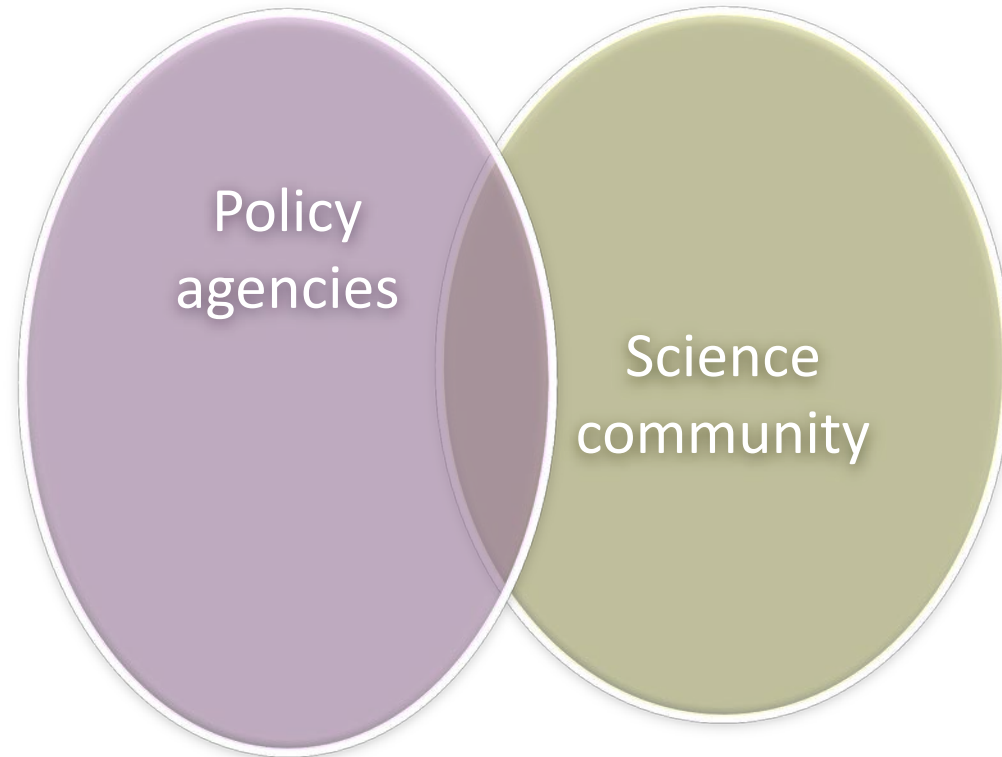
MONASH University



UNIVERSITY of  
TASMANIA

# Conclusions

- Better engagement
  - Within policy agencies
  - Within science community
  - Between policy and science communities
- Build capacity through collaboration
  - ASOR is one mechanism
- Leads to fit for purpose analysis



Closer linkages?

Thank you and Questions

[www.dsto.defence.gov.au](http://www.dsto.defence.gov.au)

Get the free DSTO App  
from the Apple Store or Google Play

